



Sheet 1 of 1

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 C.F.R. §1.98(b))		Attorney Docket No. 50026/031001 Serial No. 09/980,420 Applicant Toshihiro Nakajima et al. Filing Date November 30, 2001 Group 1636 IDS Filed February 25, 2002				
U.S. PATENTS						
Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION						
Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
MS	WO 97/12622	Apr. 10, 1997	WIPO			
MS	WO 99/31251	June 24, 1999	WIPO			
MB	WO 00/29421	May 25, 2000	WIPO			
MB	WO 00/29557	May 25, 2000	WIPO			
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)						
MB	Dull <i>et al.</i> , "A Third-Generation Lentivirus Vector with a Conditional Packaging System," <i>J. Virol.</i> , 72:8463-8471 (1998).					
MB	Zufferey <i>et al.</i> , "Self-Inactivating Lentivirus Vector for Safe and Efficient <i>in vivo</i> Gene Delivery," <i>J. Virol.</i> , 72:9873-9880 (1998).					
EXAMINER <i>Michael R. J.</i>			DATE CONSIDERED <i>9-30-01</i>			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.						

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 C.F.R. §1.98(b))		Attorney Docket No. 50026/031001 Serial No. 09/980,420 Applicant Toshihiro Nakajima et al. Filing Date November 30, 2001 Group 1636 IDS Filed March 6, 2002				
U.S. PATENTS						
Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)
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MB	Farson et al., "A New-Generation Stable Inducible Packaging Cell Line for Lentiviral Vectors," <i>Human Gene Therapy</i> 12:981-997 (2001).					
MB	Nakajima et al., "Development of Novel Simian Immunodeficiency Virus Vectors Carrying a Dual Gene Expression System," <i>Human Gene Therapy</i> , 11:1863-1874 (2000).					
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EXAMINER <i>MDO R. Jey</i>			DATE CONSIDERED <i>9-30-04</i>			
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Sheet 1 of 1SUBSTITUTE FORM PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Attorney Docket No.

50026/031001

Serial No.

09/980,420

Applicant

Nakajima et al.

Filing Date

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Group

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IDS Filed

January 15, 2004

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

(37 C.F.R. § 1.98(b))

U.S. PATENTS

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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
	JP 2002-530057 A	September 17, 2002	JPO			No
	JP 2002-530070 A	September 17, 2002	JPO			No
	JP 2002-508184 A	March 19, 2002	JPO			No
	WO 00/29421 A1	May 25, 2000	WIPO			NO
	WO 00/29557 A1	May 25, 2000	WIPO			NO
	WO 99/34251 A1	June 24, 1999	WIPO			NO

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)

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DATE CONSIDERED

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SUBSTITUTE FORM PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket No.	50026/031001
		Serial No.	09/980,420
		Applicant	Nakajima et al.
		Filing Date	June 5, 2002
		Group	1636
		IDS Filed	JULY 12, 2004
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)			
(37 C.F.R. § 1.98(b))			

U.S. PATENTS

Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)
MB	5,739,118 A	April 14, 1998	Carrano et al.			
MB	6,197,755 B1	March 6, 2001	Carrano et al.			

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Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
	AU 709659 B2	September 2, 1999	Australia			NO
	AU 747609 B2	May 16, 2002	Australia			
	AU 750110 B2	July 11, 2002	Australia			
	AU 9175698 A	April 12, 1999	Australia			
	AU 9356208 A	April 12, 1999	Australia			
	AU 2236695 A	October 23, 1995	Australia			
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	CN 1303442 T	July 11, 2001	China			
	EP 0772437 A1	May 14, 1997	EPO			
	EP 1017037 A1	July 12, 2000	EPO			

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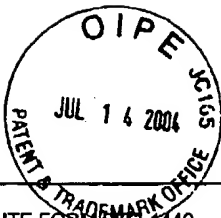
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4-30-04

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SUBSTITUTE FORM PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Attorney Docket No.

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Applicant

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
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(37 C.F.R. § 1.98(b))

U.S. PATENTS

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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
	EP 1017838 A2	July 12, 2000	EPO			NO
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	NO 20001487 A	May 23, 2000	Norway			
	NZ 503317 A	May 31, 2002	New Zealand			
	NZ 503318 A	November 30, 2001	New Zealand			
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	WO 99/15683 A1	April 1, 1999	WIPO			
	WO 99/15684 A2	April 1, 1999	WIPO			

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MB	Barksdale and Baker, "The Human Immunodeficiency Virus Type 1 Rev Protein and the Rev-Responsive Element Counteract the Effect of an Inhibitory 5' Splice Site in a 3' Untranslated Region," <i>Mol. Cell. Biol.</i> , 15(6):2962-2971, 1995.
MB	Brightly and Rosenberg, "A Cis-Acting Repressive Sequence that Overlaps the Rev-Responsive Element of Human Immunodeficiency Virus Type 1 Regulates Nuclear Retention of <i>env</i> mRNAs Independently of Known Splice Signals," <i>Proc. Natl. Acad. Sci. USA</i> , 91(18):8314-8318, 1994.
MB	Dougherty and Temin, "High Mutation Rate of a Spleen Necrosis Virus-Based Retrovirus Vector," <i>Mol. Cell. Biol.</i> , 168(3):4387-4395, 1986.

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				Serial No. 09/980,420		
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				Filing Date June 5, 2002		
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Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
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MB	Korman et al., "Expression of Human Class II Major Histocompatibility Complex Antigens Using Retrovirus Vectors," <i>Proc. Natl. Acad. Sci. USA</i> , 84(8):2150-2154, 1987.					
MB	Naldini et al., "In Vivo Gene Delivery and Stable Transduction of Nondividing Cells by a Lentiviral Vector," <i>Science</i> , 272(5259):263-267, 1996.					
EXAMINER	M. L. F. R.			DATE CONSIDERED 9-30-04		
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